functions satisfy a recurrence relation which is helpful to their evaluation. From Equation (5) we see that

$$F_{i+j}^{+}(x) = \frac{\left[F_{i}^{+}(x)\,F_{j}^{+}(x) + N\right]}{\left[F_{i}^{+}(x) + F_{i}^{+}(x)\right]}, \, i, j \geqslant 1. \quad (12)$$

It is of interest to note that certain convergents to periodic continued fractions representing quadratic surds also satisfy this recurrence relation as shown by Frank;7 such convergents, where the surd consists of a square root only, can be thought of as special cases of the estimates generated by $F_k^+(x)$. If we set i = j we obtain from Equation (12) an expression of the same form as the Newton Raphson formula. Hence if k is a power of two, 2^{l} say, we can evaluate $F_k^+(x)$ by l applications of Newton's method with an efficiency of unity. If k is not a power of two the efficiency cannot be exactly unity because of the logarithm in its definition, and hence must be less than unity in this case. 6 Hence the greatest computational efficiency is obtained when k is a power of two, where the iterative process is equivalent to multiple applications of the Newton Raphson method.

When several processors are available the calculation of the polynomials may be done in parallel. Furthermore, if the processors are arranged in a tree-like structure the evaluation of each polynomial can be broken down into parts to be evaluated concurrently in a logarithmic cascade (Kogge). We generalise the efficiency such that $log_2(k)$ is divided by the number of steps involving division and multiplication (except by constants) where at each step several multiplications and/or divisions may be done concurrently; we do not consider the cost of the processors here. We have seen that we should at least choose k even for greatest efficiency. The number of steps is equal to the number required to evaluate one polynomial plus one for the ratio, which is the lowest integer upper bound of $1 + \log_2(k-2)$ for k not two; the efficiency is maximised to unity only for k = 4. For k =2 the efficiency is unity but extra processors are unnecessary. The use of several processors does not produce an efficiency greater than that of the sequential application of the Newton

Raphson process; except for k = 2 and 4 even with parallel processing we cannot achieve the same efficiency. The multiple application of the Newton process cannot be accelerated in time by parallel processing because each application of it must await the completion of the previous one.

It was observed in Section 2 that we obtain an algorithm with a root-bracketing property by using the function $F_k^+(x)$ with k odd. In the light of the previous paragraph let us choose $k = 2^{l} + 1$; we use l applications of the Newton Raphson method to obtain $F_{2}^{+}(x)$ and, noting that $F_1^+(x) = N/x$ from Equation (5), we have

$$F_k^+(x) = \frac{N[F_{2'}^+(x) + x]}{[xF_{2'}^+(x) + N]}$$
 (13)

The efficiency falls from unity by around 2/l if l is not too small; in a parallel implementation it falls by around 1/l giving little advantage. The extra cost incurred to obtain root bracketing is not great.

We turn to the evaluation of the function $F_k(x)$. For even k it is best evaluated as N divided by $F_k^+(x)$, this single extra operation making its use slightly less efficient. Sequential Newton Raphson, I times, followed by division yields an efficiency around 1-1/l. For odd k the function is the product of x and a ratio of polynomials of degree (k-1)/2 in x^2 ; the multiplier x can be absorbed in the denominator polynomial. The efficiency is not greatly enhanced by parallel computation. In general the function $F_k^-(x)$ is less useful than $F_k^+(x)$.

5. Conclusion

Iterative processes based on formula (5) have kth order convergence to \sqrt{N} for any positive starting value and to $-\sqrt{N}$ for any negative starting value. The convergence is slow at first if the magnitude of the starting value is very small or very large. The processes are stable. No significant gain is obtained by the use of parallel processors (even when measured by latency alone). The most efficient formula is equivalent to multiple applications of the Newton Raphson method. It can be modified simply at little extra computational cost to give root bracketing (Equation (13)).

The formulae (5) include a number of recently published iterative expressions.2,3,9 The above remarks apply to all of them. Those of Morrison and Moler² and Dubrulle³ are equivalent to $F_k^-(x)$. The formula I derived differently is equivalent to $F_k(x)$ for kodd and $F_k^+(x)$ for k even; I withdraw the suggestion⁹ that parallel computation of it would be beneficial!

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Announcement

10-14 July 1989

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